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09/892,958

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I hereby certify that this and the enclosed paper(s) and/or fee(s) is/are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Shana V. Frazier**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In Re Application of:****Inventor:** John Ricciardi**Examiner:** TBA**Serial No.:** 09/892,958**Filed:** June 27, 2001**Group Art Unit:** 2164**For:** System and Method for
Selecting an Investment
Item**Docket No.:** 101831-000200-NP**Date:** October 16, 2001**TRANSMITTAL LETTER;
FEE TRANSMITTAL;
RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

Box: Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts dated August 21, 2001, the Applicant submits the following items:

- Copy of Notice to File Missing Parts of Nonprovisional Application;
- Original executed Declaration for Application Serial No. 09/892,958;

- A late filing of declaration surcharge as set forth in 37 C.F.R. 1.16(e) of \$65.00 for a small entity;
- Substitute drawings in compliance with 37 CFR 1.84; and
- Return Receipt Postcard.

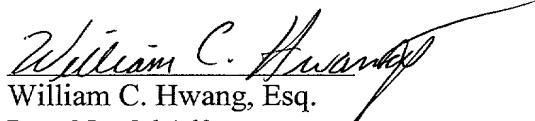
No new matter is included in the substitute drawings submitted herewith.

The Commissioner is hereby authorized to charge the late filing fee or declaration surcharge of \$65 and any additional fees or credit any overpayment to Deposit Account Number **06-0923**.

Enclosed is a duplicate copy of this correspondence.

Applicant's undersigned attorney may be reached in our New Jersey office by telephone at (973) 994-7847. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,


William C. Hwang, Esq.
Reg. No. 36,169
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Roseland, NJ 07068
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/892,958	06/27/2001	John Ricciardi	101831-200-NP

24964
 GOODWIN PROCTER & HOAR LLP
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CONFIRMATION NO. 3824
FORMALITIES LETTER

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10/22/2001 BABRAHA1 00000019 060923 09892958
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Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE